Wealth Management Process

Client contact	Appointment made	No charge
Initial interview with client	Discuss scope of advice	No charge
Forward Engagement letter	Engagement letter outlines scope of advice and fees	No charge
Signed Engagement letter received	Client acknowledges scope of advice and fees	No charge
Statement of Advice prepared	Statement of Advice prepared as per Engagement letter	Statement of Advice is payable as per Engagement letter
Client meeting	Discuss recommendations	Inclusive of Statement of Advice preparation fee
Implementation of recommendations	Recommendations implemented as per Statement of Advice	Implementation fees as per Statement of Advice
Periodic review with client	Ensure advice is still current	Periodic review fees as per Statement of Advice