

BURRELL

stockbroking & superannuation



Client Guide and Account Application General Advice & Execution ONLY

(No 'Personal Advice')

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1 Welcome

Welcome to Burrell Stockbroking & Superannuation, and thank you for choosing us.

Please spend some time reading this guide to get to know us a little better. As well as learning more about Burrell Stockbroking and Superannuation, this guide explains the nature of your relationship with us, which is limited to general advice, execution only, or non-repeat sell services.

We are not providing you with personal advice. If in the future your circumstances change please talk to your advisor, as Burrell offers a comprehensive range of services.

If you require further information when reading, please don't hesitate to call us. We can discuss any and all questions either over the phone, email, SMS or in person. And please don't think your question may be a 'silly' one.

The terms and conditions outlined in this document may be seen by some as 'dry'. But please read everything carefully.

Please keep the first section of this document for your records. Kindly complete and sign the necessary forms and return to us.

2 About Burrell Stockbroking & Superannuation

Burrell Stockbroking & Superannuation is a longstanding Queensland company established in 1937. Burrell is proud to have achieved more than 80 years in the business. This longevity stems from the personal attention and wealth of experience we continue to bring our clients.

Burrell is a boutique firm with over 40 staff and representatives across offices in Brisbane, Bundaberg, Emerald, Ipswich, Southport, Peregian and Hobart. From this base, we visit regional centres and work with clients around Australia.

Burrell is one of only two Queensland stockbroking firms who settle trades in-house. No third party clearing houses, no delay and a Burrell expert to assist when you need it.

Our service

We understand that you are looking for general advice, execution only, or non-repeat sell services. This means we are not offering you any personal advice or specialist services. Burrell offers the below as general advice, or execution only services:

- Shares: Buying and Selling
- Portfolio Administration Services
- Managed Funds
- Money Market Services
- Fixed Interest Investments
- Estate Administration
- Warrants and Options Dealing
- Execution Services for Overseas Shares
- Off Market Transfers
- Margin Lending

In addition, if your circumstances change and you want personal advice in the future, Burrell offers personal advisory services for:

- Shares: Portfolio Investment advice
- Superannuation and Rollover Funds
- Wealth Management and Retirement Planning
- Portfolio Management Services
- Estate Planning

To find out more about us and how we can help you, please visit www.burrell.com.au.

A boutique firm placing its clients' interests first

Across the Burrell business, we seek to always put your interests first. As detailed below, Burrell is designed to put client interests first:

- **Ownership.** Burrell is not owned by a bank or other financial institution. It is owned by the Burrell family and other individual directors/shareholders.
- **Rebates.** We seek to rebate fees from third parties. Burrell is independent of external product providers under this model e.g. fund managers.
- **Research.** We seek to author or source independent research, whenever possible.

Even with new capital raisings where industry and clients expect the issuer to remunerate the broker, we seek only to accept new issues (IPOs) where we consider the acquisition to be in a client's best interest. Often old public offerings i.e. existing listed companies, are better value.

Burrell holds some entities in which directors have funds invested. These are not material in size compared to Burrell's total portfolio value. Over the years, clients have asked to invest in these entities. So while we have an interest in this regard, we do not have a conflict.

Burrell Stockbroking & Superannuation is a participant of the Australian Securities Exchange (ASX), a member of the National Stock Exchange (NSX), and the holder of an Australian Financial Services Licence, No. 247431.

3 Burrell services to suit your needs

Everyone is different and has different needs, goals and ideas about where they want their investment strategy to take them. Together we can help you work out which Burrell services are right for you.

As a first step, please familiarise yourself with the following information to help you decide the level of service you will be provided, either:

- General advice;
- Execution only or
- Non-repeat Sell services

General advice or Personal advice?

General advice

'General advice' is giving you information that does not take into account your objectives, financial situation or needs, and it will be up to you to decide if the information we give is suitable for you. Here's an example of general advice:

You say to us you are interested in ABC Co Ltd and we send you our research note, which carries a BUY recommendation. In the absence of your advisor specifically recommending you buy shares in ABC Co Ltd, that recommendation is our general opinion of the company only, and you will have to decide the appropriateness of the recommendation and whether to buy shares in it.

We are not providing you with personal advice. Any stock or investment recommendation is our general view only. We are not giving you any personal recommendations. If, at a later date, you would like us to provide you with personal advice, please contact us and you will then need to complete the additional paperwork.

Personal advice

The following explanation of Personal Advice is provided **so that you understand what we are not providing, and any advice we give is general in nature and not tailored to you.**

In contrast to General Advice, 'personal advice', would be tailored to suit your objectives, financial situation or needs. We would ask you to complete detailed fact finding documents and complete a risk assessment so we could advise on an investment strategy that suits you.

We would provide you with personal recommendations and stocks and other investments that suit your risk profile.

4 Operating your account to buy and sell shares

Placing orders

As we're acting as your agents, it's necessary for you to instruct us when you wish to buy and sell securities. An order to buy or sell can be made via telephone, email, facsimile, or in person. When placing an order please give us the following information:

- Your account number.
- The name and type of security you wish to buy or sell.
- The number of shares or units.
- The price you wish to pay or receive, be it 'limit', 'best' or 'at market'.
- Your holder identification details if you are placing a selling order and your holding is 'issuer sponsored' or 'other broker sponsored'. Issuer statements must be emailed, faxed or delivered to our office during business hours on the day that you place the order with us.

Special instructions, time limits and amendments

If you have special order instructions you must provide them when placing your order.

If your order is to buy or sell at a fixed price (a 'limit' order) and it is not completed, then in the absence of special instructions to the contrary, the order will remain open for a period of one month from the date of that order. You may cancel or amend the order at any time providing it has not already been transacted.

Confirmation and acceptance of your order

When placing an order by phone or in person, we will read the order back to you to ensure the details are correct. If you elect to send orders by email, fax or SMS such orders are accepted only when the email, fax or SMS is acknowledged by Burrell Stockbroking & Superannuation.

Burrell Stockbroking Best Execution Policy

Your orders will be executed in accordance with our Best Execution Policy. This Policy explains how we comply with our obligations under Australia's multi market environment. Please refer to our Financial Services Guides for more information. A copy of our

Policy is available on our website at www.burrell.com.au. A hard copy will be provided on request.

Dealing with jointly-held accounts

If your account is a joint account, we will rely on instructions received from any one of the account holders without confirming those instructions with the other account holders.

Fees and charges

GST and brokerage details are provided in the accompanying Financial Services Guides and on each confirmation note. Proceeds are net of GST and brokerage.

How to provide funds

You can provide Burrell with funds by bank transfer, cheque, or by providing written authority for Burrell to direct debit your Money Market Account, Cash Management Account (CMT) or other bank account.

By bank transfer

Bank	ANZ, cnr. Queen and Creek Streets, Brisbane
Account name	Burrell & Co Holdings Pty Ltd Trust Account
BSB	014 002
Account No	8356 99809

So that we can correctly receipt your funds, please provide your Burrell six digit account number together with the transaction code '60'.

By cheque

Cheques should be made payable:

- to 'Burrell & Co Holdings Pty Ltd Trust Account';
- to your Bank of Queensland DDH Money Market Account;
- for applications payable to a company or other issuer, in the name of the issuer.

5 Clearing, settlement and sponsorship services

Burrell & Co Holdings Pty Ltd (Burrell Clearing¹) provides clearing, settlement and sponsorship services to Burrell Stockbroking².

The clearing and settlement agreement between Burrell Stockbroking and Burrell Clearing will also have the following implications for you as a client of Burrell Stockbroking:

- Burrell Clearing will act as your CHESSE sponsoring participant.
- Confirmation notes will be issued by Burrell Stockbroking but will name Burrell Clearing as Burrell Stockbroking's clearing participant.
- If you elect to settle your Burrell Stockbroking transactions via direct debit or credit, your account statement will identify Burrell Clearing as 'Burrell' (rather than Burrell Stockbroking) as the entity which has debited or credited your nominated bank account.

Quicker and easier trading with CHESSE

We strongly recommend investors utilise CHESSE (Clearing House Electronic Subregister System), the settlement transfer system of the Australian Securities Exchange.

This paperless electronic system has many great benefits. CHESSE:

- holds all your CHESSE securities under one reference number
- has more efficient and accurate record keeping
- reduces the need to handle SRNs (issuer Sponsored Reference Numbers)
- means no cost to you to become broker sponsored
- reduces transaction time
- streamlines settlement, making sell transactions faster and easier
- eliminates the risk of statements being lost
- saves cost and time in replacement
- reduces the chance of fines for late delivery
- reduces the opportunity for fraud

- facilitates off-market transfers
- reduces the paperwork involved in deceased estates.

To participate in CHESSE, you'll need to appoint Burrell Clearing as your sponsoring broker. As your CHESSE sponsor, Burrell Clearing will issue you with a unique Holder Identification Number (HIN). Each time you purchase listed securities through us, your holdings will automatically be registered under your HIN. The securities are recorded at the registry, but as your sponsoring broker, we are able to provide you with the above benefits as well as more accurate portfolio reporting.

Settlement of market transactions

The Australian Stock Exchange requires all equity transactions to be settled within two business days (T+2). We request your strict adherence to this rule.

Purchases

Your payment should be made in time to reach Burrell Clearing on the second business day following the transaction, for settlement on the second business day. Please note Burrell interest charges and administration fees apply for any late payment.

After your share purchase, the Share Registry will send you statements showing how many shares or securities you now hold in the given company.

If you are on CHESSE, the ASX Settlement issues CHESSE Holding Statement at the end of each month in which a transaction has occurred for a particular holding after registration. This Statement is your record of your holding. The Statement are issued directly by ASX Settlement on behalf of each company. Separate Statements are issued for each security. It shows the opening and closing holding balances of the holding for the statement period as well as listing any CHESSE if there is a change in your holding, the Share Registry and/or CHESSE will update this record.

Sales

When placing a sell order, you must provide full holder identification details as follows:

- **Issuer sponsored holding**

Your Shareholder Reference Number (SRN) must be given to your advisor at the time of placing the order to sell. The SRN can usually be found at the

¹ Burrell & Co Holdings Pty Ltd holds an Australian Financial Services Licence, No. 352203.

² Burrell Stockbroking Pty Ltd is a wholly owned subsidiary of Burrell & Co Holdings Pty Ltd.

top right hand corner of your Issuer Sponsored Statement.

- **CHES sponsored by Burrell Clearing** You simply place your order to sell with your advisor. No further action by you is necessary.
- **CHES sponsored by another broker** If your holding is sponsored by another broker, you must sign and deliver to us a broker transfer form **PRIOR** to the sale (see Form 4). This will enable the securities to be transferred to Burrell Clearing in time for settlement.

If you don't deliver your correct SRN within the settlement timeframe, you may be charged from \$100 per day per line of stock in Australian Securities Exchange levied fail fees. This fail fee is automatically deducted from your net sale proceeds once settled. In addition, Burrell Clearing may charge a service fee for failed settlements. This will be itemised on your financial statement.

Proceeds from sales will be made payable to the name of the registered holder of the securities at the end of the second business day after the transaction. If you have provided us with banking details, or for clients operating a DDH Money Market Account, the proceeds from sales will automatically be deposited electronically on the second business day after the transaction (T+2).

DDH Graham Money Market Account

We recommend you open an account with DDH Graham through Burrell Stockbroking. This account will link to your shares account and will facilitate automatic settlement of buy and sell transactions on their due date of T+2.

DDH Graham's Money Market Division primarily administers the Bank of Queensland's Money Market Deposit accounts. An account with DDH Graham provides bank security, high interest rates, and features that most cash management trusts do not provide.

Please note that by depositing money in a Money Market Deposit Account, you are making a direct deposit with Bank of Queensland. Bank of Queensland undertakes to repay your deposit, together with interest due, in accordance with the terms and conditions contained in the separate Bank of Queensland/DDH Graham Product Disclosure Statement.

DDH Graham Limited has a strong history in servicing the investor market. We find it offers an efficient and competitive service.

Burrell provides administration services in relation to your DDH Graham cash deposit account, and for this we charge 25 basis points, or 0.25%. To offset this cost to you, DDH Graham pays you an additional 25 basis points over and above their determined cash rate.

Alternatively, you may prefer to provide us with the authority to debit and credit your nominated bank account to facilitate settlement of trades. This means you won't have to remember to send us a cheque or transfer funds into our account, and will, in the case of the proceeds from sell trades, enable you to have access to your funds sooner.

Where your account has a custodian, or Burrell is not the CHES sponsor

The processes outlined in this section and general terms and conditions may be varied where your account has a custodian, or where Burrell is not the CHES sponsor. In these cases settlement will occur with the custodian. When buying, please ensure you have sufficient funds with your custodian to settle your account. When selling, please ensure you only sell stock held with your custodian. We draw your attention to Burrell interest charges and administration fees for late payment and failure to settle. Examples of accounts where the processes will differ are retail superannuation funds, and margin loan accounts.

6 Tips for completing your new account forms

This Guide contains all the information you need to complete in order for us to open an account on your behalf. It is important that, in conjunction with completing these forms, you read this document in full as well as our Financial Services Guides. These booklets explain the terms and conditions of operating a Burrell Stockbroking account, and clearing

and settling through Burrell & Co Holdings Pty Ltd (Burrell Clearing).

If you have any difficulties completing the forms, or require further information, please contact your advisor.

Selecting your account name and designation

Table 2 Do's and don't's of account names. *It's easy if you follow these guidelines.*

TYPE OF ACCOUNT	DESCRIPTION	CORRECT FORM	INCORRECT FORM
Individual	Single account holder. Use full names not initials.	John Gary Smith	JG Smith or John G Smith
Joint	Limited to three account holders. Use full names not initials.	John Smith and Mary Smith	J & M Smith
Company	Use full company name. ACN and/or ABN required.	ABC Pty Ltd ACN XXX XXX XXX	ABC P/L
Superannuation fund	Do not use the words "as trustee for" or the word "trust". Use the fund trustee name, not the name of the fund.	John and Mary Smith <Smith Family Super Fund A/C>	John and Mary Smith Superannuation Fund
Partnership	Use partners' personal names, do not use the name of the partnership.	Dr Michael Smith & Mr John Smith <Partnership A/C>	Michael Smith & Son
Minor	If under 18, do not use the name of the minor. Do not use the words "as trustee for" or the word "trust". Use the name of the signatory.	John Smith <David Smith A/C>	David Smith account c/- John Smith
Trust	Use names of the trustee/s or custodian/s. Do not use the words "as trustee for" or the word "trust".	John Smith <Smith Family A/C>	John Smith Family Trust
Deceased estate	Use the executor names and not the deceased person's name.	John Smith <Estate Jane Smith>	Estate of Late Jane Smith

Note: If you are opening a Trading Account, please include the word "Trading" in the account designation. For example, for an individual, the correct form would be: John Gary Smith, <Trading A/C>.

Verifying your identity

Under the Anti-Money Laundering and Counter Terrorism Financing Act, we are required to formally identify you. If you are completing this application in the presence of one of our advisors, you will only need to produce the documents needed to verify your identity. Otherwise, certified copies of each document must be returned to us with the completed application. Additional documentation may be required in some circumstances. Burrell reserve the right to vary the below requirements at any time.

Account type:	Certified copies of documentation required:
Individual	<ul style="list-style-type: none"> ➤ Australian/foreign drivers licence; or ➤ Card issued under a law of a State or Territory containing a photo, date of birth, address and signature <p>If you cannot satisfy the above, then:</p> <ul style="list-style-type: none"> ➤ Australian Passport; or ➤ Australian Birth Certificate; or ➤ Australian citizenship certificate; or ➤ Pension card issued by Centrelink; or ➤ Health card issued by Centrelink <p>And</p> <ul style="list-style-type: none"> ➤ An original notice issued by Commonwealth, State, Territory or a Local Government Body containing your name and residential address ➤ Utilities bill (e.g.) that is less than 3 months old containing your name and residential address ➤ A notice from the Australian Taxation Office (ATO) that records a tax refund or amount payable, that is less than 12 months containing your name and residential address
Company	<ul style="list-style-type: none"> ➤ A copy of the company's most recent ASIC annual statement and any amendments; or ➤ A copy of the ASIC database search showing company officers and shareholders (note you will be charged \$9.00 if Burrell performs this search) <p>And</p> <ul style="list-style-type: none"> ➤ Identification as required for 'An Individual' for at least two directors (alternative company secretary) ➤ Identification as required for 'An Individual' for each person authorised to operate the account
Superannuation funds/SMSFs	<ul style="list-style-type: none"> ➤ A search of the relevant ASIC or other regulators database (Burrell can perform this search for you); and ➤ A copy of the trust deed <p>And</p> <ul style="list-style-type: none"> ➤ Identification as required for 'An Individual' for at least two trustees
Trusts	<ul style="list-style-type: none"> ➤ A copy of the trust deed <p>And</p> <ul style="list-style-type: none"> ➤ A search of the relevant ASIC or other regulators database; or ➤ A notice (such as a notice of assessment) issued by the Australian Taxation Office in the past 12 months; or ➤ A letter from a solicitor or qualified accountant verifying the name of the Trust; <p>And</p> <ul style="list-style-type: none"> ➤ Identification as required for 'An Individual' for at least two trustees. ➤ If the trustee is a company, then identification as required for a 'Company' ➤ Identification as required for 'An Individual' for each person authorised to operate the account
A Deceased Estate	<ul style="list-style-type: none"> ➤ A certified copy of the grant of probate or Letters of Administration OR ➤ A certified copy of the last Will and Testament and a Small estate indemnity form for Small Estates <p>And</p> <ul style="list-style-type: none"> ➤ Identification as required for 'An Individual' for all executors/each person authorised to operate the account
Power of attorney and other agents	<ul style="list-style-type: none"> ➤ Certified copy of the Power of Attorney document, or authority document <p>And</p> <ul style="list-style-type: none"> ➤ Identification as required for 'An Individual' for all attorneys/each person authorised to operate the account ➤ Identification as required for 'An Individual' for the Account Holder
Other	<ul style="list-style-type: none"> ➤ For other structures such as partnerships, incorporated or unincorporated associations, foreign entities, or government bodies, please contact us for requirements.

Important Material Section A

Burrell Stockbroking Terms & Conditions

1. Rules and regulations

These terms and conditions govern any dealing executed on the client's account ("the client") by Burrell Stockbroking Pty Ltd ACN 088 958 481 ABN 82 088 958 481 ("Burrell Broking") and Burrell & Co Holdings Pty Ltd ACN 121 508 978 ABN 58 121 508 978 ("Burrell Clearing")." By opening an account with Burrell Broking you agree that Burrell Clearing will be the entity responsible for clearing, settling and CHESS sponsorship, and accept and agree to be bound by all terms and conditions set out in this agreement.

These terms and conditions are subject to the Articles of Association, Rules, Regulations and practices of the Australian Securities Exchange Limited ABN 98 008 624 691 ("ASX"), The National Stock Exchange of Australia Limited ABN 11 000 902 063 ("NSX"), ASX Clear Pty Ltd ABN 48 001 314 503, ASX Settlement Pty Ltd ABN 49 008 504 532 and the Corporations Law as amended from time to time.

2. The client's commitment

The client represents to Burrell Broking and Burrell Clearing that it is, and at all times during its dealing with the Burrell Broking and Burrell Clearing will be, in a position to meet all commitments on the part of the client arising out of its dealings with Burrell Broking and Burrell Clearing or business conducted by Burrell Broking and Burrell Clearing on their behalf and that any individual client is over the age of 18 years.

3. Where the client is more than one person

If more than one person constitutes the client, then they are each jointly and severally liable under this Agreement. Until otherwise specified in writing, all persons constituting the client agree that Burrell Broking and Burrell Clearing may act on the instructions of any one of the persons without the necessity to refer to or notify any other person in connection with those instructions.

4. Burrell Broking and Burrell Clearing won't make the client's decisions

The client acknowledges that Burrell Broking and Burrell Clearing will not act on a discretionary basis on the client's behalf or for the client's benefit.

5. Confirming orders

When placing an order by phone or in person, Burrell Broking shall read the order back to the client to ensure the details are correct. Some clients send orders by email, fax or SMS. Such orders are accepted by Burrell Broking only when the email,

fax or SMS is acknowledged by Burrell Broking. Burrell Broking will confirm the execution of an order by hard copy confirmation note or by electronic confirmation note, as requested by the client. Upon receipt of confirmation notes, it is the client's responsibility to ensure all details are correct and immediately notify Burrell Broking and Burrell Clearing should there be any errors or omissions.

6. Right to decline instructions

The client and its authorised agent may instruct Burrell Broking to deal on the account. Burrell Broking has the discretion to decline instructions at any time without the need to provide any reason for its decision. In addition to that discretion, Burrell Broking may refuse to act on the client's behalf:

- a. where the original instruction is more than one calendar month old, or
- b. where the security or other investment medium has been subject to a trading halt and the client has not reconfirmed instructions.

7. Reissuing a confirmation note

Burrell Broking may, at any time, reissue a confirmation note in order to correct any errors or omissions, and these terms and conditions, after the necessary changes have been made, will be binding with respect to the reissued confirmation note.

8. Provision of email address

Where the client has provided an email address for the purpose of Burrell Broking delivering confirmation notes to the client by email, the client authorises Burrell Broking to send the confirmation note to that address. If the clients requests to have its confirmation notes delivered by email, the clients warrants and agrees that:

- a. the email address provided by the client is the email address at which the client will receive the confirmation note and that it is complete and correct
- b. Burrell Broking and Burrell Clearing may rely on that information unless and until Burrell receives written notice of any change signed by the client
- c. the client will immediately notify Burrell Broking and Burrell Clearing of any change to the client's email address or if the client ceases

to use the notified address

- d. Burrell Broking and Burrell Clearing will not be responsible for any loss or liability incurred by the client where we do not receive instructions or where any dealing or proposed dealing is interrupted, unable to be completed, or unable to take place due to the failure of any computer or other electronic device
- e. if or when Burrell Broking or Burrell Clearing receives a return email communication from the client's email address to the effect that a confirmation note sent to the client has been interrupted, unable to be delivered, or unable to take place due to the failure of any computer or other electronic service, Burrell Broking will issue a further confirmation note to the client by ordinary post at the client's address notified to Burrell Broking which shall supersede the previous confirmation note, and
- f. if the delivery of a confirmation note is interrupted, unable to be completed, or unable to take place due to the failure of any computer or other electronic device, we reserve the right to discontinue the client's mail confirmation note service and revert to delivery of confirmation notes by means of ordinary post.

9. Delivery

Any notice or other communication given by either Burrell Broking, Burrell Clearing or the client under these terms and conditions will be deemed to be given:

- a. if by mail, on the second business day after it was sent
- b. if personally delivered, on the day of delivery
- c. if by fax, when that fax is acknowledged by the recipient
- d. if sent to Burrell Broking or Burrell Clearing by email, when an email is acknowledged by Burrell Broking or Burrell Clearing, and
- e. if sent to the client by email, when the email enters the information system designated in your email address.

10. Spam Act

The client consents to receive email communications from Burrell Broking and Burrell Clearing, including unsolicited email communication for the purposes of the *Spam Act 2003* (Cth).

11. In the event of a dispute

Any disputes between the client and Burrell Broking or Burrell Clearing will be subject to the complaints procedures outlined in the "Financial Services

Guides". Disputes unable to be resolved by these procedures will be arbitrated by the AFCA.

12. Written notification

The client must notify Burrell Broking and Burrell Clearing in writing within two business days of any change in the details provided by the client in this agreement.

13. No Disclosure of client's financial interests

The client acknowledges that they have not disclosed financial details or relevant circumstances to Burrell Broking nor its authorised representatives. Neither Burrell Broking nor its Authorised Representatives will give personal advice or make a recommendation that is suitable to the client's individual situation. The client acknowledges that only general advice or execution only services will be provided.

14. Who pays?

The client must pay for purchases including all brokerage, taxes, costs, duties and charges in respect thereof, and make good delivery in respect of sales, to enable Burrell Broking and Burrell Clearing to settle by the due settlement date. Payment is accepted either by cheque, direct debit to bank accounts or cash management accounts (for CMT accounts, funds are pledged as collateral for settlement to ASX Clear Pty Ltd at the time of confirmation note finalisation). Cheques should only be made payable to Burrell & Co Holdings Pty Ltd Trust Account or to a client's DDH Graham Bank of Queensland Money Market Account or other bank account. For applications payable to a company or other issuer, cheques may be made payable to the issuer. Where the client fails to make good delivery in respect of sales, or pay for purchases by the due settlement date, the client will indemnify Burrell Broking and Burrell Clearing for all costs incurred by Burrell Broking and Burrell Clearing as a result.

15. Failure to settle obligations

Burrell Broking or Burrell Clearing may, without notifying the client, appropriate any credit balance of any account of the client within Burrell Broking or Burrell Clearing and all payments received by Burrell Broking or Burrell Clearing on the client's behalf, in reduction of any money the client owes Burrell Broking or Burrell Clearing on any account.

16. ASX fail fees

Burrell Clearing incurs a daily fee from ASX for all transactions that do not settle by their settlement date. The client agrees and acknowledges that Burrell Clearing will deduct from their net sale

proceeds, a daily fail fee if the client fails to supply a Shareholder Reference Number (SRN), in time for settlement on T+2.

17. Additional charges

Burrell Clearing reserves the right to levy additional charges for a client who does not settle by the required settlement date:

- a. if the client fails to settle purchases by the settlement date, Burrell Clearing may charge the client an administration fee together with an interest component on the overdue amount from the contract settlement date until the date payment is received, or
- b. if the client fails to supply their HIN or SRN, Burrell Clearing may levy an administration charge from the contract settlement date until the date securities are received by Burrell Clearing.
- c. Administration fees can also apply to broker to broker transfers.
- d. Brokerage, commission and service charges are included in the Financial Services Guides. Changes to brokerage and service charges are advised in the Burrell Bourse and at www.burrell.com.au and apply from the notified date.

18. Failure to settle

The ASX rules provide that where a client fails to settle at T+2 in respect of an order to buy shares, the broker may give notice in writing to the client of our intention to sell the shares on the market and to recover any shortfall from the client.

19. Disclosure of information

The client agrees and acknowledges that Burrell Broking and Burrell Clearing may, from time to time and without further reference to the client, provide information about the client and their dealing in securities to ASX, the Australian Securities and Investment Commission or any other governmental agency or regulatory authority in Australia.

20. How do we vary/end the agreement?

Burrell Broking or Burrell Clearing may vary and any party may terminate these terms and conditions by giving not less than seven business days' notice in writing to the other party, subject to all outstanding obligations under these terms and conditions being duly discharged. Any notice given or demand made by either party, or confirmation notes issued by Burrell Broking, shall be deemed to have been received on the business day following the transmission or posting of the notice, demand or confirmation note.

21. Definitions

Applicant – 'you', 'your'.

Burrell Broking or Burrell Stockbroking – Burrell Stockbroking Pty Ltd ABN 82 088 958 481; AFSL No 247431; a market participant of the ASX and NSX.

Burrell Clearing – Burrell & Co Holdings Pty Ltd ABN 58 121 508 978; AFSL 352203; an ASX Clear Pty Ltd clearing participant; and an ASX Settlement Pty Ltd settlement and sponsorship participant.

Important Material Section B

Direct Debit/Credit Service Agreement

Please find below details of the client Direct Debit Service Agreement with Us.

This document explains your obligations when requesting a direct debit arrangement with us.

In addition, the document details Burrell's obligations to you the client as your direct debit provider. Please keep this agreement for future reference. The direct debit service agreement forms part of the terms and conditions of the use of a direct debit request on your account and should be read in conjunction with your Banking (Settlement Account) authorisation form.

Definitions

Agreement means this Direct Debit Request Service Agreement between the client and Us.

Banking day means a day other than a Saturday or a Sunday or a formally noted public holiday in Australia.

Debit day means the day that payment by you to us is due.

Debit payment means a particular transaction where a debit is made.

Direct debit request means the direct debit request between Us and the client.

Us, we, our, or Burrell means Burrell & Co Holdings Pty Ltd ABN 58 121 508 978; AFSL No. 352203 and Burrell Stockbroking Pty Ltd ABN 82 088 958 481; AFSL No 247431, jointly and severally.

You or your means the client who has signed, or authorised by other means, the direct debit request.

Your account means the account held at your financial institution from which we are authorised to arrange for funds to be debited.

Your financial institution means the financial institution nominated by you on the Direct Debit Request form at which the account is maintained.

1. Debiting your account

- 1.1. By signing a direct debit request form or by providing us with a valid instruction, you have authorised us to arrange for funds to be debited from your account. You should refer to the direct debit request form and this agreement for the terms of the arrangement between Burrell and you the client.

- 1.2. We will only arrange for funds to be debited from your account as authorised in the direct debit request. The amount and frequency of drawings will vary to coincide with your dealings with Burrell
- 1.3. If the debit day falls on a day that is not a banking day, we may direct your financial institution to debit your account the day prior to your banking day. If you are unsure about which day your account has or will be debited you should ask your financial institution.
- 1.4. Direct debits will be processed the business day prior to the settlement date.

2. Amendments by Burrell

- 2.1. We may vary any details of this agreement or a direct debit request at any time by giving you at least fourteen (14) days written notice.
- 2.2. We reserve the right to cancel the drawing arrangements if two (2) or more debit requests are returned unpaid by you financial institution and to arrange with you an alternate payment method.
- 2.3. We reserve the right to cancel the drawing arrangement and this agreement if your Shares account is no longer sponsored by Burrell.

3. Amendments by you

You may change, stop or defer a debit payment, or terminate this agreement by providing us with at least five (5) days notification in writing to:

Burrell Stockbroking Pty Ltd
Attention: Accounts
GPO Box 1398
Brisbane Qld 4001

or by email you Burrell Advisor

or by telephoning your Burrell adviser or Accounts on 07 3006 7200 during business hours

4. Your obligations

- 4.1. It is your responsibility to ensure that there are sufficient cleared funds available in your account to allow a debit payment to be made in accordance with the direct debit request.
- 4.2. If there are insufficient cleared funds in your

account to meet a debit payment:

- a) you may be charged a fee and/or interest by your financial institution
 - b) you may also incur fees or charges imposed or incurred by Burrell, and
 - c) you must arrange for the payment to be made by another method or arrange for sufficient cleared funds to be in your account by an agreed time so that we can process the debit payment.
- 4.3. You should check your account statement to verify that the amounts debited from your account are correct.

5. Dispute

- 5.1. If you believe that there has been an error in debiting your account, you should notify Accounts directly on (07) 3006 7200 and confirm by notice in writing as soon as possible so that we can resolve your query as quickly as possible. Alternatively, you can contact your financial institution directly.
- 5.2. If, as a result of our investigations, we conclude that your account has been incorrectly debited we will work with you and your financial institution to rectify the error.
- 5.3. If, as a result of our investigations, we conclude that your account has not been incorrectly debited we will respond to your query by providing you with clarification.

6. Accounts

You should check:

- a) with your financial institution whether direct debiting is available from your account as direct debiting is not available on all accounts offered by the financial institutions
- b) that the account details you have provided us are correct
- c) with your financial institution before completing the direct debit request if you have any queries about how to complete the direct debit request, and
- d) the bank details provided are in the same name as your Burrell account.

7. Confidentiality

- 7.1. We will keep any information (including your account details) in your direct debit request confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction

or disclosure of that information.

- 7.2. We will only disclose information that we have about you:
 - a) to the extent specifically required by law, or
 - b) for the purposes of this agreement (including disclosing information in connection with any query or claim).

8. Notice

- 8.1. If you wish to notify us in writing about anything relating to this agreement, you should write to:
Burrell Stockbroking Pty Ltd
Attention: Accounts
GPO Box 1398
Brisbane Qld 4001
- 8.2. We will notify you by sending a notice in the ordinary post to the address you have provided us in your Burrell Stockbroking Account Application.
- 8.3. Any notice will be deemed to have been received on the third banking day after posting.

Important Material Section C

CHES Sponsorship Terms & Conditions

Some Terms used in this CHES Sponsorship Agreement

AFSL	Australian Financial Services Licence
ASX Clear (formerly ACH)	ASX Clear Pty Ltd, ABN 48 001 314 503
ASX Settlement (formerly ASTC)	ASX Settlement Pty Ltd, ABN 49 008 504 532
ASX	ASX Limited or Australian Securities Exchange, ABN 98 008 624 691
CHES	Clearing House Electronic Sub register System
Participant Sponsored Holder You	You the Client of Burrell
The Controlling Participant, Participant, Burrell, We, Us	Burrell & Co Holdings Pty Ltd, ABN 58 121 508 978; AFSL No. 352203 An ASX Clear Direct Clearing Participant and ASX Settlement Direct Settlement Participant

1. INTERPRETATION AND OVERVIEW

- 1.1. In this CHES Sponsorship Agreement, any terms used are defined in the ASX Settlement Operating Rules and have the meaning given in the Rules. (Should you require a copy of these definitions please contact your Participant (Burrell)).
- 1.2. The Terms and Conditions of CHES Sponsorship below constitute a contract between you (the Client) and Us (Burrell), in which you name Burrell as your Controlling Participant for the purpose of CHES.
- 1.3. The purpose of CHES is to facilitate settlement of Market Transactions (executed on ASX Trading Platforms or other Approved Market Operators) between Participants and the electronic transfer or ownership of Financial Products
- 1.4. Being CHES sponsored by Burrell means you can buy and sell shares more quickly than if your shares were 'issuer sponsored'.

2. APPOINTMENT OF BURRELL & CO HOLDINGS PTY LTD AS YOUR CONTROLLING PARTICIPANT

- 2.1. By entering into this CHES Sponsorship Agreement with Burrell you:
 - 2.1.1. appoint Burrell as your Controlling Participant for the purpose of CHES Sponsorship in accordance with these Terms and Conditions set out in this CHES Sponsorship Agreement; and
 - 2.1.2. acknowledge and understand that Burrell, will establish a unique Holder Identification

Number (HIN) on your behalf and all Financial Products you transfer in, or acquire through Burrell will be held electronically on your unique HIN;

- 2.2. You acknowledge that before you executed this CHES Agreement, you were provided with a copy and an explanation of its terms and conditions and that you understood its effect.
- 2.3. You are entitled to request an executed copy of this CHES Sponsorship Agreement. If you make such a request, unless the agreement has been terminated, we will provide you with an executed copy:
 - Within 3 Business Days of execution; or
 - At any time thereafter

3. MANDATORY PROVISIONS

3.1. PARTICIPANT RIGHTS

- 3.1.1. Where the Participant Sponsored Holder (you) authorises the Participant (us, Burrell) to buy Financial Products, the Participant Sponsored Holder (you) will pay for those financial products, and meet all Settlement Obligations, by the Settlement Date.
- 3.1.2. Subject to Clause 3.1.3, the Participant is not obliged to Transfer Financial Products into the Participant Sponsored Holding, where payment for those Financial Products has not been received, until payment is received.
- 3.1.3. Where a contract for the purchase of Financial Products remains unpaid, after the Participant

has made a demand of the Participant Sponsored Holder to pay for the Financial Products, the Participant may sell those Financial Products that are the subject of that contract at the Participant Sponsored Holder's risk and expense and that expense will include brokerage and stamp duty. Should you fail to satisfactorily meet a demand of payment, Burrell may take action to sell down any holdings on your HIN without further notice to you.

- 3.1.4 Where the Participant claims that an amount lawfully owed to it has not been paid by the Participant Sponsored Holder, the Participant has the right to refuse to comply with the Participant Sponsored Holder's Withdrawal Instructions, but only to the extent necessary to retain financial products of the minimum value held in a Participant Sponsored Holding (where the minimum value is equal to 120% of the current market value of the amount claimed).

3.2 PARTICIPANT SPONSORED HOLDER'S RIGHTS (Your Rights)

- 3.2.1 Subject to Clauses 3.1.3 and 3.1.4, the Participant (Burrell) will initiate any Transfer, Conversion or other action necessary to give effect to Withdrawal Instructions within two business days of the date of the receipt of the Withdrawal Instructions.
- 3.2.2 The Participant will not initiate any Transfer or Conversion into or out of the Participant Sponsored Holding without the express authority of the Participant Sponsored Holder.
- 3.2.3 For sell trades the relevant Participant Sponsored Holdings are pledged as collateral for settlement to ASX Clear Pty Ltd at the time of confirmation note finalisation.
- 3.2.4 The regulatory regime that applies to us includes:
- a) the Corporations Act;
 - b) the Rules, directions, decisions and requirements of the Market Operator from time to time;
 - c) the customs and usages of the Market;
 - d) the Rules, directions, decisions, and requirements of ASX Settlements from time to time; and
 - e) domestic and international anti money laundering and counter terrorism finance laws

- 3.2.5 You acknowledge and agree that you will not do anything that would prevent or hinder us from complying with our obligations under the regulatory regime set out at paragraph 3.2.4 above.
- 3.2.6 The Rules are administered by ASX Settlement and Burrell & Co Holding's AFSL 352203. Information regarding Burrell status as a Sponsoring participant can be obtained from ASX Settlement. Information regarding Burrell AFSL status can be obtained from ASIC.

4. OTHER RIGHTS AND DUTIES

4.1 SUPPLY OF INFORMATION

- 4.1.1 The Participant Sponsored Holder will supply all information and supporting documentation which is reasonably required to permit the Participant to comply with the registration requirements, as are in force from time to time, under the ASX Settlement Operating Rules.

4.2. EXCHANGE TRADED OPTIONS, PLEDGING AND SUB-POSITIONS

- 4.2.1 Where the Participant Sponsored Holder arranges with ASX Clear to lodge Financial Products in a Participant Sponsored Holding as Derivatives Cover, and informs the Participant of the arrangement, the Participant Sponsored Holder:
- a) authorises the Participant to reserve the Financial Products in the ASX Clear Subposition so that the Financial Products come under the control of ASX Clear and are subject to the security interest granted in favour of ASX Clear to secure the performance by the relevant Clearing Participant of its obligations to ASX Clear under and in accordance with ASX Clear Operating Rule 14.6.7;
 - b) authorises any subsequent dealing (including, without limitation, any transfer) of the reserved Financial Products in accordance with the Rules and ASX Clear Operating Rules;
 - c) acknowledges that the Financial Products will remain subject to that security interest for so long as those Financial Products remain reserved in the ASX Clear Subposition in accordance with ASX Clear Operating Rule 14.6.7; and
 - d) authorises the Participant to take whatever other action is reasonably required by ASX Clear in accordance with the Rules to give effect to that arrangement.

4.2.2 Where the Participant Sponsored Holder arranges with any person to give a charge or any other interest in financial products in a Participant Sponsored Holding, the Participant Sponsored Holder authorises the Participant to take whatever action is reasonably required by the person in accordance with the Rules to give effect to that arrangement.

4.2.3. The Participant Sponsored Holder acknowledges that where, in accordance with this Agreement and/or the Participant Sponsored Holder's instructions, the Participant initiates any action which has the effect of creating a sub-position over financial products in the Participant Sponsored Holding, the right of the Participant Sponsored Holder to transfer, convert or otherwise deal with those financial products is restricted in accordance with the terms of the Rules relating to Sub-positions.

4.2.4. Nothing in this Agreement operates to override any interest of ASX Clear in the financial products.

4.3 FEES

4.3.1. The Participant Sponsored Holder will pay all Brokerage fees and associated transactional costs within the period prescribed by the Participant.

5. INDEMNITY

5.1 The Participant Sponsored Holder (you) must indemnify Burrell against, and you must therefore pay us on demand for any direct loss, damages or costs we suffer or incur:

- in connection with us performing our obligations under this CHES Sponsorship Agreement; or
- in connection with us acting as your Controlling Participant or agent for the purpose of CHES; or
- if you do something you agree not to do, or fail to do something you agree to do, under this CHES Sponsorship Agreement; save to the extent that Burrell have caused or contributed to the loss or damage.

5.2 The Participant Sponsored Holder must pay Burrell any amount we claim under the indemnity in 5.1 when we ask. Burrell can debit any of these amounts to any account you have with us even if we do not expressly ask you to pay us. It is not necessary for us to incur expense or make payment before

enforcing a right of indemnity conferred by this Agreement.

5.3 The indemnity in clause .1 is a continuing obligation, independent of your other obligation to us. It continues after this agreement is terminated.

6. MANDATORY NOTIFICATIONS AND ACKNOWLEDGMENTS

6.1 The Participant Sponsored Holder acknowledges that if the Participant is not a Market Participant of an Approved Market Operator, neither the Approved Market Operator nor any Related Party of the Approved Market Operator has any responsibility for regulating the relationship between the Participant Sponsored Holder and the Participant, other than in relation to the Rules relating to Sponsorship Agreements.

6.2 The Participant Sponsored Holder acknowledges that if a Transfer is taken to be effected by the Participant under Section 9 of the ASX Settlement Operating Rules and the Source Holding for the Transfer is a Participant Sponsored Holding under the Sponsorship Agreement, then:

- a) the Participant Sponsored Holder may not assert or claim against ASX Settlement or the relevant Issuer that the Transfer was not effected by the Sponsoring Participant or that the Sponsoring Participant was not authorised by the Participant Sponsored Holder to effect the Transfer, and
- b) unless the Transfer is also taken to have been effected by a Market Participant of ASX or a Clearing Participant of ASX Clear, the Participant Sponsored Holder has no claim arising out of the Transfer against the National Guarantee Fund under Part 7.5, Division 4 of the Corporations Regulations.

6.3 In the event that the Participant breaches any of the provisions of this CHES Sponsorship Agreement, the Participant Sponsored Holder may refer that breach to any regulatory authority, including ASX Settlement.

6.4 In the event that the Participant is suspended from CHES participation, subject to the assertion of an interest in Financial Products controlled by the Participant, by the liquidator, receiver, administrator or trustee of that Participant:

- (a) the Participant Sponsored Holder has the right, within 20 business days of ASX

Settlement giving notice of suspension, to give notice to ASX Settlement requesting that any Participant Sponsored Holdings be removed either:

- (i) from the CHESS Subregister, or
- (ii) from the control of the suspended Participant to the control of another Participant with whom they have concluded a valid Sponsorship Agreement pursuant to Rule 12.19.10, or

(b) where the Participant Sponsored Holder does not give notice under Clause 6.4. (a), ASX Settlement may effect a change of Controlling Participant under Rule 12.19.11. and the Participant Sponsored Holder will be deemed to have entered into a new Sponsorship Agreement with the substitute Participant on the same terms as the existing Sponsorship Agreement. Where a Participant Sponsored Holder is deemed to have entered into a Sponsorship Agreement, the new Participant must enter into a Sponsorship Agreement with the Participant Sponsored Holder within ten business days of the change of Controlling Participant.

- 6.5 The Participant Sponsored Holder acknowledges that before the Participant Sponsored Holder executed the Sponsorship Agreement, the Participant provided the Participant Sponsored Holder with an explanation of the effect of the Sponsorship Agreement and that the Participant Sponsored Holder understood the effect of the Sponsorship Agreement.
- 6.6 The Participant Sponsored Holder acknowledges that in the event of the death or bankruptcy of the Participant Sponsored Holder, a Holder Record Lock will be applied to all Participant Sponsored Holdings in accordance with the ASX Settlement Operating Rules, unless the Participant Sponsored Holder's legally appointed representative or trustee elects to remove the Participant Sponsored Holdings from the CHESS Subregister.
- 6.7 The Participant Sponsored Holder acknowledges that in the event of the death of the Participant Sponsored Holder, this Sponsorship Agreement is deemed to remain in operation, in respect of the legally appointed representative authorised to administer the Participant Sponsored Holder's estate, subject to the consent of the legally

appointed representative, for a period of up to three calendar months after the removal of a Holder Record Lock applied pursuant to Clause

FOR JOINT HOLDINGS ONLY

- 6.8 The Participant Sponsored Holder acknowledges that in the event of the death of one of the Holders, the Participant will transfer all Holdings under the joint Holder Record into new Holdings under a new Holder Record in the name of the surviving Participant Sponsored Holder/s, and that this Sponsorship Agreement will remain valid for the new Holdings under the new Holder Record.
- 6.9 The Participant Sponsored Holder acknowledges that in the event of the bankruptcy of one of the Holders the Participant will:
- a) unless the legally appointed representative of the bankrupt Participant Sponsored Holder elects to remove the Participant Sponsored Holdings from the CHESS Subregister, establish a new Holder Record in the name of the bankrupt Participant Sponsored Holder, transfer the interest of the bankrupt Participant Sponsored Holder into new Holdings under the new Holder Record and request that ASX Settlement apply a Holder Record Lock to all Holdings under that Holder Record, and
 - b) establish a new Holder Record in the name(s) of the remaining Participant Sponsored Holder(s) and Transfer the interest of the remaining Participant Sponsored Holder(s) into new Holdings under the new Holder Record.

7. CHANGE OF CONTROLLING PARTICIPANT

- 7.1 If the Participant Sponsored Holder receives a Participant Change Notice from the Controlling Participant of the Participant Sponsored Holding and the Participant Change Notice was received at least 20 business days prior to the date proposed in the Participant Change Notice for the change of Controlling Participant, the Participant Sponsored Holder is under no obligation to agree to the change of Controlling Participant, and may choose to do any of the things set out in clauses 7.2 or 7.3.
- 7.2 The Participant Sponsored Holder may choose to terminate the CHESS Sponsorship Agreement by giving Withdrawal Instructions

under the ASX Settlement Operating Rules to the Controlling Participant, indicating whether the Participant Sponsored Holder wishes to:

- a) transfer its Participant Sponsored Holding to another Controlling Participant, or
 - b) transfer its Participant Sponsored Holding to one or more Issuer Sponsored Holdings.
- 7.3 If the Participant Sponsored Holder does not take any action to terminate the agreement in accordance with 7.2 above, and does not give any other instructions to the Controlling Participant which would indicate that the Participant Sponsored Holder does not agree to the change of Controlling Participant then, on the effective date, the CHES Sponsorship Agreement will have been taken to be novated to the New Controlling Participant and will be binding on all parties as if, on the effective date:
- a) the New Controlling Participant is a party to the CHES Sponsorship Agreement in substitution for the Existing Controlling Participant
 - b) any rights of the Existing Controlling Participant are transferred to the new Controlling Participant, and
 - c) the Existing Controlling Participant is released by the Participant Sponsored Holder from any obligations arising on or after the effective date.
- 7.4 The novation in clause 7.3 will not take effect until the Participant Sponsored Holder has received a notice from the New Controlling Participant confirming that the New Controlling Participant consents to acting as the Controlling Participant for the Participant Sponsored Holder. The effective Date may as a result be later than the date set out in the Participant Change Notice.
- 7.5 The Participant Sponsored Holder will be taken to have consented to the events referred to in clause 7.4 by the doing of any act which is consistent with the novation of the Agreement to the New Controlling Participant (for example by giving an instruction to the New Controlling Participant), on or after the effective date, and such consent will be taken to be given as of the effective date.
- 7.6 The CHES Sponsorship Agreement continues for the benefit of the Existing Controlling Participant in respect of any rights and obligations accruing before the Effective Date

and, to the extent that any law or provision of any agreement makes the novation in clause 7.3 not binding or effective on the Effective Date, then the CHES Sponsorship Agreement will continue for the benefit of the Existing Controlling Participant until such time as the novation is effective, and the Existing Controlling Participant will hold the benefit of the Agreement on trust for the New Controlling Participant.

- 7.7 Nothing in this clause 7 will prevent the completion of CHES transactions by the Existing Controlling Participant where the obligation to complete those transactions arises before the effective date and the CHES Sponsorship Agreement will continue to apply to the completion of those transactions, notwithstanding the novation of the CHES Sponsorship Agreement to the New Controlling Participant under this clause 7.

8. CLAIMS FOR COMPENSATION

- 8.1 If the Participant breaches a provision of this CHES Sponsorship Agreement and the Participant Sponsored Holder makes a claim for compensation pursuant to that breach, the ability of the Participant to satisfy that claim will depend on the financial circumstances of the Participant.
- 8.2 The Participant Sponsored Holder may lodge a complaint with the Australian Financial Complaints Authority (AFCA) against the Participant or any claim for compensation with the National Guarantee Fund (NGF). For more information on the circumstances in which a Participant Sponsored Holder may make a claim on the National Guarantee Fund or for information on the NGF generally, contact the Securities Exchange Guarantee Corporation Limited.
- 8.3 If a breach by a Participant of a provision of this CHES Sponsorship Agreement falls within the circumstances specified in the compensation arrangements applicable to the Approved Market Operator or the Clearing Participant of ASX Clear under the Corporations Act and Corporations Regulations, a Participant Sponsored Holder may make a claim under the relevant compensation arrangements.

9. TERMINATION

- 9.1 Subject to the ASX Settlement Operating Rules, this CHES Sponsorship Agreement will be terminated upon the occurrence of any of the following events:

- a) by notice in writing from either the Participant Sponsored Holder or the Participant to the other party to the CHES Sponsorship Agreement
- b) upon the Participant becoming insolvent
- c) upon the termination or suspension of the Participant, or
- d) upon the giving of Withdrawal Instructions by a Participant Sponsored Holder to a Controlling Participant in accordance with Rule 7.1.10(c).

9.2 Termination under Clause 9.1(a) will be effective upon receipt of Notice by the other party to the CHES Sponsorship Agreement.

10. VARIATION

10.1 Should any of the provisions in this CHES Sponsorship Agreement be inconsistent with the provisions in the ASX Settlement Operating Rules, the Participant will, by giving the Participant Sponsored Holder not less than seven business days written notice, vary the CHES Sponsorship Agreement to the extent to which in the Participant's reasonable opinion is necessary to remove any inconsistency.

**Please detach the remaining sections of this Guide and
return completed to Burrell Stockbroking**

Please detach this page and remaining sections and return completed to Burrell Stockbroking

7 Document completion checklist

Please use the following checklists as an aid to completing your new account application.

While you may only complete some forms, please return **this page and remaining sections** of this Guide to our office. Please keep pages 1-19 (inclusive) for your records.

Please confirm you have:	
<input type="checkbox"/>	Read the Financial Services Guides (FSGs) for Burrell Stockbroking Pty Ltd and Burrell & Co Holdings Pty Ltd. Date Provided / /
<input type="checkbox"/>	Read and understood the Burrell Stockbroking Terms & Conditions and the CHESS Sponsorship Terms & Conditions (pages 12-19).
<input type="checkbox"/>	Provided sufficient information to enable Burrell Stockbroking to verify my identity.
<input type="checkbox"/>	Returned pages 21 onwards (inclusive) of this Guide to Burrell Stockbroking fully intact.

Please complete the following attached forms relative to your service level:		
<input type="checkbox"/>	General advice	Form 1
<input type="checkbox"/>	Execution only service	Form 1
<input type="checkbox"/>	Non-repeat SELLS service	Form 1 *Please Note: The CHESS and Broker-to-Broker forms are not mandatory for Non repeat Sells. Please ask your adviser if you need to complete any of these forms: <input type="checkbox"/> Chess Sponsorship <input type="checkbox"/> Broker to Broker

Please complete the following additional form for this account type:		
<input type="checkbox"/>	Trading Account	Form 2

Please confirm all parties have	
<input type="checkbox"/>	Signed the acknowledgement and declaration on page 39 (THIS MUST BE SIGNED)

Please complete separate forms to apply for the following services:	
<input type="checkbox"/>	Bank of Queensland's DDH Graham Money Market Deposit Account

**Please detach the remaining sections of this Guide and
return completed to Burrell Stockbroking**

Form 1 Account Application

Advisor office use only

Account #: Advisor name: Advisor code:

A. Your account opening details

Account type and details						
Individual <input type="checkbox"/> Joint <input type="checkbox"/> Private Company (or incorporated association) <input type="checkbox"/> Public Company <input type="checkbox"/>						
Super Fund <input type="checkbox"/> Trust <input type="checkbox"/> Estate <input type="checkbox"/> Minor (under 18) <input type="checkbox"/> Partnership <input type="checkbox"/> Trading Account <input type="checkbox"/>						
Unincorporated Body or Club <input type="checkbox"/> Margin Lending (Must be Limited Personal Advice) <input type="checkbox"/>						
Account name		<input type="text"/>				
ACN/ABN (if applicable)		<input type="text"/>				
Residential address (Mandatory) (If a Company please provide your Registered Office address) (PO Box is not acceptable)						
<input type="text"/>						
					Post code	<input type="text"/>
Postal address (if same as above, write "as above")						
<input type="text"/>						
					Post code	<input type="text"/>
Registration name		<input type="text"/>				
Reg'n designation		<	<input type="text"/>	>		
Registration address (if same as above, write "as above") (PO Box is acceptable)						
<input type="text"/>						
					Post code	<input type="text"/>
Extra trade confirmation/ mailout address						
Name (if Third Party)		<input type="text"/>				
Email		<input type="text"/>				
Address		<input type="text"/>				
					Post code	<input type="text"/>
Primary contact details						
Work phone.		<input type="text"/>	Home	<input type="text"/>	Facsimile.	<input type="text"/>
Mobile 1.	#	<input type="text"/>	Name.	<input type="text"/>		
Mobile 2.	#	<input type="text"/>	Name.	<input type="text"/>		
Email		<input type="text"/>				
Applicant 1. Individual / Director / Trustee (primary contact)						
Title	<input type="text"/>	Full name	<input type="text"/>			
Date of Birth	<input type="text"/>	Gender	<input type="text"/>	Occupation	<input type="text"/>	
Residential Address		<input type="text"/>				
Applicant 2. Individual / Director / Trustee/Minor						
Title	<input type="text"/>	Full name	<input type="text"/>			
Date of Birth	<input type="text"/>	Gender	<input type="text"/>	Occupation	<input type="text"/>	
Residential Address		<input type="text"/>				
Applicant 3. Individual / Director / Trustee / Minor						
Title	<input type="text"/>	Full name	<input type="text"/>			
Date of Birth	<input type="text"/>	Gender	<input type="text"/>	Occupation	<input type="text"/>	
Residential Address		<input type="text"/>				

Global Tax Reporting Requirement (FATCA & CRS Certification)

Burrell is required to report investors that are foreign tax residents to the Australian Taxation Office (ATO) in accordance with international tax reporting standards and law to which Australia is subject. These include the United States Foreign Account Tax Compliance Act (FATCA) and the Organisation for Economic Co-operation and Development (OECD) Common Reporting Standard (CRS). The below details are required to facilitate our due diligence requirements.

Applicant 1. Individual/Director/Trustee Full name:					
Are you an Australian resident?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	Please provide your TFN <input type="text"/>
Are you a Tax resident of another Country?	Yes*	<input type="checkbox"/>	No	<input type="checkbox"/>	*If Yes, please complete the Supplementary form
Applicant 2. Individual/Director/Trustee Full name:					
Are you an Australian resident?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	Please provide your TFN <input type="text"/>
Are you a Tax resident of another Country?	Yes*	<input type="checkbox"/>	No	<input type="checkbox"/>	*If Yes, please complete the Supplementary form
Individual 3. Individual/Director/Trustee Full name:					
Are you an Australian resident?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	Please provide your TFN <input type="text"/>
Are you a Tax resident of another Country?	Yes*	<input type="checkbox"/>	No	<input type="checkbox"/>	*If Yes, please complete the Supplementary form
Company/Organisation**					
Company/Organisation TFN					
Is the Company/Organisation a tax resident of another country	Yes*	<input type="checkbox"/>	No	<input type="checkbox"/>	
*If yes, please complete the supplementary Form					
Are any of the individuals who exercise control over the entity a tax resident for tax purposes of a country other than Australia?	Yes*	<input type="checkbox"/>	No	<input type="checkbox"/>	

*please request the supplementary form from your adviser

** Organisation include Trust, Association etc.

Source of funds

Australian Anti-Money Laundering and Counter-Terrorism Financing legislation requires us to collect information about the source of funds used for your investments.

What is the source(s) of your investment funds e.g. savings	<input type="text"/>
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Politically Exposed Person (PEP)

Australian Anti-Money Laundering and Counter-Terrorism Financing legislation requires you to answer the following questions relating to your political exposure before opening a financial account.

Do you hold any of the following prominent public positions or functions in a government body or an international organisation: Head of State or head of a country or government; government minister or equivalent senior politician; senior government official; Judge of the High Court of Australia, the Federal Court of Australia or a Supreme Court of a State or Territory, or a Judge of a court of equivalent seniority in a foreign country or international organisation; governor of a central bank or any other position that has comparable influence to the Governor of the Reserve Bank of Australia; senior foreign representative, ambassador, or high commissioner; high-ranking member of the armed forces; board chair, chief executive, or chief financial officer of, or any other position that has comparable influence in, any State enterprise or international organisation?	Yes	<input type="checkbox"/>		
	No	<input type="checkbox"/>		
Do you have an immediate family member that holds a position outlined above?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
Do you hold joint ownership of any entity or arrangement with a person outlined	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
Do you hold ownership of an entity or arrangement for the sole benefit of a person outlined above?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>

* A Politically Exposed Person is an individual, or close family member or close associate of an individual, who holds a prominent public position or function in an Australian government body, a foreign government body, or an international organisation.

If yes to the above, please confirm the name, relationship and type of PEP:

Applicant 1. Individual/Director/Trustee Full name:						
Relationship:	Self	<input type="checkbox"/>	Immediate family member	<input type="checkbox"/>	Close Associate	<input type="checkbox"/>
PEP Type:	Domestic PEP	<input type="checkbox"/>	Foreign PEP	<input type="checkbox"/>	International Organisation PEP	<input type="checkbox"/>
Applicant 2. Individual/Director/Trustee Full name:						
Relationship :	Self	<input type="checkbox"/>	Immediate family member	<input type="checkbox"/>	Close Associate	<input type="checkbox"/>
PEP Type	Domestic PEP	<input type="checkbox"/>	Foreign PEP	<input type="checkbox"/>	International Organisation PEP	<input type="checkbox"/>
Applicant 3. Individual/Director/Trustee Full name:						
Relationship :	Self	<input type="checkbox"/>	Immediate family member	<input type="checkbox"/>	Close Associate	<input type="checkbox"/>
PEP Type:	Domestic PEP	<input type="checkbox"/>	Foreign PEP	<input type="checkbox"/>	International Organisation PEP	<input type="checkbox"/>

CHESSE Sponsorship

The benefits of CHESSE have been outlined in this document. We highly recommend CHESSE sponsorship for registration of your securities.

- I/We would like to be CHESSE Sponsored by Burrell & Co Holdings Pty Ltd (Burrell Clearing).**
Please sign below after reading the CHESSE Sponsorship Terms and Conditions at Important Material Section C. Your advisor will help you with any questions you have to gain a full understanding of this service.
- I/We would like to transfer my/our holdings to be sponsored by Burrell Clearing from another broker** and have completed the attached Form 6 Broker to Broker Transfer.
- This is a **margin lending** or **custodian account**




CHESSE form

Registration details – office use only- Scrip and Settlement	Office use only - Scrip and Settlement

Signing guidelines

If you are signing as individuals or individual trustees, then each applicant must sign. If you are signing for a company, this CHESSE Sponsorship agreement must be signed by two directors or a director and secretary of the company. If the company is registered as a ‘Sole Director’ company, then the director/secretary must write the words “Sole Director and Secretary” next to their signature. If applicable, the common seal of the company must be affixed.

IMPORTANT: This document must be signed per the above registration.

Please ensure all parties to this agreement sign			
Signature of Individual/Director/Trustee		Date	/ /
	Applicant 1 Name (print)		
Signature of Individual/Director/Trustee		Date	/ /
	Applicant 2 Name (print)		
Signature of Individual/Director/Trustee		Date	/ /
	Applicant 3 Name (print)		

Office use only - Scrip and Settlement	
Account #:	

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Burrell & Co Holdings Pty Ltd - Settlement Account Details

Office use only – Scrip and Settlement	
Account #	

Settlement of your transactions will occur depending on the method you choose. Currently, all Australian listed securities sell transactions will be settled on T+2. If you choose to settle your buy transactions under a direct debit authority, we will debit your account on T+1.

If you choose to settle your buy transactions through a Bank of Queensland DDH Graham account, they will be settled on T+2 and will take precedence over other accounts

Please choose at least one of the cash settlement methods below. You may choose more than one method.

- Bank of Queensland DDH Graham Limited Money Market Account**
We encourage you to open a DDH Graham Money Market Account to follow our preferred means of settlement of your transactions. **Please complete the separately provided DDH Graham Money Market Account PDS and application.**
- Settle with my Margin Lender or Custodian**
- Nominated Bank/Financial institution (Primary)**
 - Burrell may Direct Debit & Credit
 - Burrell may Direct Credit Only

Note: All individuals listed on the Shares account **MUST** have control of the underlying bank account for settlement E.g. if shares are in joint names, your bank account must be in joint names, or each individual owner of the shares must provide a bank account (funds would be split equally by default). Joint holdings cannot settle to only one owner’s bank account.

Bank		
BSB no.		
Account no.		
Account name		

Additional Bank Account

- Burrell may Direct Debit & Credit
- Burrell may Direct Credit Only

Bank		
BSB no.		
Account no.		
Account name		

All authorities will remain in place unless cancelled in writing.

In providing the above nominations for settlement of your transactions for securities and fulfilment of other instructions that you may give to us from time to time, you acknowledge that this direct debit/credit arrangement is governed by the terms of the Direct Debit/Credit Service Agreement provided to you by Burrell Clearing found at Important Material Section B of this Guide.

For 'Other bank or financial institution' please ensure all parties to the agreement sign			
Signature of Individual/Director/Trustee		Date	/ /
	Applicant 1 Name (print)		
	Signature of Individual/Director/Trustee		Date
Applicant 2 Name (print)			

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Advisor office use only	
Account #:	
Advisor Name:	

B. Selecting your service level

Service level	
<input type="checkbox"/>	<p>General Advice - I/We have not provided details of my/our investment objectives or personal information and understand that 'general advice' only will be provided. Transactions undertaken are a result of your instructions, and no personal advice will be provided. If you choose this service, you do not need to complete any other forms. <i>Please complete Form 1.</i></p> <p>For General Advice clients we draw your attention to the following warning, which applies to every instance of advice we provide to you:</p> <p>(a) the advice has been prepared without taking account of your objectives, financial situation or needs;</p> <p>(b) because the advice has been prepared without taking account of your objectives, financial situation or needs, you should, before acting on the advice, consider the appropriateness of the advice, having regard to your objectives, financial situation and needs;</p> <p>(c) if the advice relates to the acquisition of a financial product, you should obtain a PDS for that product</p>
<input type="checkbox"/>	<p>Execution Only and/or *Non repeat SELL Service - I/We have not provided details of my/our investment objectives or personal information and understand that 'no advice' only will be provided. Transactions undertaken are a result of your instructions, and no advice will be provided. If you choose this service, you only need to <i>complete Form 1. *For Non repeat SELL- Please complete Form 1 (excluding CHES and Broker to Broker forms unless advised otherwise).</i></p> <p>For Execution only Client we draw your attention to the following warning:</p> <p>a) You have not asked for or received advice;</p> <p>b) the transaction is execution only;</p> <p>c) it is your decision alone to take out the investment; <i>and</i></p> <p>Burrell is taking no responsibility for the product's suitability for your financial situation and needs</p>

C. Selecting other preferences

Delivery of confirmation notes – you MUST select your preference:	
I would like to receive electronic confirmation notes only (you MUST provide an email for delivery in Form 1 page 23); or	<input type="checkbox"/> Yes
I would like to receive both electronic <u>and</u> printed confirmation notes; or	<input type="checkbox"/> Yes
I would like to receive printed confirmation notes only	<input type="checkbox"/> Yes

Office use only - ✓ box for Advisor desktop reviews			
Monthly	Quarterly	Half Yearly	Annually



Burrell Publications As part of our Service you will receive:	Not on a Service	Opt Out (You will not receive these)
Burrell Blog & Annual Investment Briefing Access to our monthly Managing Director’s blog that presents an independent and informed view of market happenings.	↗	<input type="checkbox"/>
Regular Email Communications In order to keep you informed and up-to-date with current trends and market information as it is announced, we often send a variety of different communications to keep you in the loop. You will be automatically added to the mailing lists unless you tick the box to Opt Out for each item. As per legislation/contractual obligations, selected Corporate Actions and Compliance communications including Brokerage, Commission and Services charge updates must be communicated and cannot be opted out of.		
Alerts A buy or sell recommendation on a stock that is seen as beneficial for an action to be made. A buy is an opportunity, whereas a sell is realizing a gain or minimizing a loss. Recommendations are supported by independent research where available.	↗ Selected	<input type="checkbox"/>
Seminars & Workshops We host a variety of seminars & workshops each year that cover a range of topics to cater for everyone’s interests. Our seminars & workshops are traditionally held in-house in a small group environment to ensure a superior quality learning environment.	↗	<input type="checkbox"/>
Communication Burrell utilises a free text message service to remind you of upcoming meetings you have booked, seminars you have registered to attend, and upcoming events. We may also use the text message service to assist in administrative matters. By opening an account with us, you authorise Burrell to: <ul style="list-style-type: none"> • Send you an SMS reminder for upcoming meetings, seminars, and events; • Advise you of outstanding administrative matters; and • Send you an SMS message when other services and products relevant to you are available. 		
You can be assured that Burrell will not bombard you with text messages. The text message service is a fast, free, and convenient way to assist you in managing your Burrell appointments.	↗	<input type="checkbox"/>

Advisor office use only	
Client Name:	
Acct Number:	

E. How did you hear about Burrell Stockbroking?

Referral from existing client Name of existing client who referred you:	<input type="checkbox"/>
Internet - Search Engine E.g. Google, Yahoo!	<input type="checkbox"/>
Internet - Social Media E.g. Facebook, Twitter, LinkedIn, YouTube, Burrell Blog	<input type="checkbox"/>
Advertising - TV	<input type="checkbox"/>
Advertising - Radio	<input type="checkbox"/>
Advertising - Print	<input type="checkbox"/>
Seminar/Presentation	<input type="checkbox"/>
Yellow Pages/Phone book	<input type="checkbox"/>
Contact at Burrells: Name of Burrells contact:	<input type="checkbox"/>
Other referral source (please specify) e.g. Accountant, Solicitor, Financial Planner	<input type="checkbox"/>
Existing client: additional group account	<input type="checkbox"/>



Form 2 Trading Account Acknowledgment

Your trading experience (please tick one)

- Extensive
 Moderate
 Limited

There is the potential to make money from trading. However, it is a fact that there also exists the potential to do serious financial harm. We highly recommend that if you decide to operate a trading account you develop a well-structured plan, which you adhere to, to maximize your potential profits and minimise your potential losses. In trading, capital preservation is paramount. In developing your trading plan, we recommend that you at least consider the following:

- running concentrated portfolios carries with it a high degree of risk; we recommend that no more than 20% of your total investment funds are allocated to any one stock.
- the total funds to be allocated to your trading account
- your target profit per annum
- your position size per trade
- what will determine your trigger, entry and exit points, and
- given the answers to the above, how often you will need to trade.

A further word on ...

Concentrated portfolios: Research supports portfolios of 15 to 30 stocks so as to ensure most of the benefits of diversification. More concentrated portfolios carry the risk of too many eggs in the one basket. Performance may be adversely affected by poor performance of only one to two stocks.

Small to mid-cap stocks: Small to mid-cap stocks carry higher risks than blue chip stocks. While we would all like a small stock that ‘would make us rich’, the sector as a whole is difficult. Historically there are many poorer performers than good performers. Portfolios with a weighting over 15% in small caps may show high volatility. Concentrated portfolios in small-cap stocks should be regarded as speculative and are not recommended by Burrell Stockbroking Pty Ltd.

Income tax: You should seek your own advice regarding taxability of gains and losses, however Burrell recommend trading be conducted in a separate account from the core portfolio. To enhance deductibility for losses, ‘trading accounts’ should be so designated.

The following account(s) are classified ‘trading’:	Account No(s).	
Account name(s)		

Acknowledgement, declaration and exclusion of liability

By signing below all parties declare that they wish to operate a trading account or accounts with Burrell. All parties acknowledge that they understand the high level of risk associated with operating a trading account, including but not limited to the fact that they may incur significant losses, and may lose all capital invested. All parties acknowledge that Burrell Stockbroking, its Directors and employees cannot be held liable for losses suffered from the operation of a trading account. To the full extent permitted by law, Burrell Stockbroking Pty Ltd, its Directors and employees exclude all liability (including liability for negligence) arising from, or related to, the operation of your trading account or accounts. Where liability cannot be excluded, it is to be limited to the maximum extent permitted.

Please ensure all parties to this agreement sign			
Signature of Individual/Director/Trustee		Date	/ /
	Applicant 1 Name (print)		
Signature of Individual/Director/Trustee		Date	/ /
	Applicant 2 Name (print)		

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Form 3 Third Party Authority

Authorised Persons on Account

Use of this form

This form should be used to provide the names and specimen signatures of individuals who you would like to appoint as an authorised person to view, receive information or act on your account, please complete the following details. Authorisation can be revoked in writing to your adviser or to clientcare@burrell.com.au

If you are delegating your affairs to another organisation, please provide the names and address of that organisation and the name and specimen signatures of individuals within that organisation who are authorised to give dealing instructions and account information to Burrell Stockbroking Pty Ltd.

The available options are below. Please tick the relevant box

Authority (for another person to view, receive information or act (deal) on my behalf)

To Burrell Stockbroking Pty Ltd

of Level 4, 24 Little Edward Street, Spring Hill QLD 4000

With reference to account name

Account number

I/We hereby grant the person/s named below (each being the Authorised Person)

<ul style="list-style-type: none"> Authority to view and be provided with information on the account 	<input type="checkbox"/>
<ul style="list-style-type: none"> Authority to be provided with information on the account verbally or physically 	<input type="checkbox"/>
<ul style="list-style-type: none"> Full authority, that is the authority to acquire, buy, deal with, dispose of or sell stocks, shares, bonds, debentures, notes, rights, units, options or other financial products on the account until further notice, and to change, add, modify or delete information in relation to the account** 	<input type="checkbox"/>

And I/we hereby authorise and request you to accept and act upon any instruction issued by the signatory pursuant to this Authority.

And I/we undertake to ratify whatever the Authorised Person shall lawfully do or cause to be done pursuant to this Authority.

** Identification for all Authorised Persons is required

Authorised Persons

Name

Signature 1

Name

Signature 2

by authority of

Signature 1

Date

Name

Signature 2

Date

Name



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Form 4 Broker to Broker Transfer Request

Please change the sponsoring broker as follows

IMPORTANT: MUST be accompanied by a signed Burrell CHESS agreement

IMPORTANT: Please attach a copy of your latest CHESS Holding Statement

Account name			
HIN			
From			
To	Burrell & Co Holdings Pty Ltd		
From (transferring broker)			
	I request my HIN & stock be transferred to Burrell & Co Holdings Pty Ltd		
	I request my stocks be transferred – List stocks to transfer		
	-----	-----	
	-----	-----	
	-----	-----	
	-----	-----	
Signature		Date	/ /
	Name (print)		
Signature		Date	/ /
	Name (print)		
OFFICE USE ONLY - SCRIP and SETTLEMENT			
Account number			
HIN retain			
HIN	Burrell & Co Holdings Pty Ltd		
Delivering PID			
Registration			

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Acknowledgment and declaration (MUST be completed)

By signing this declaration, you agree to be bound by the terms and conditions of this Guide and:

- you have read and understood the Financial Services Guides of both Burrell Stockbroking Pty Ltd and Burrell & Co Holdings Pty Ltd
- if you have chosen to be CHESS sponsored by Burrell Clearing, that you have read, understood and agree to the CHESS Sponsorship Terms and Conditions
- the information you have provided herein is accurate to the best of your knowledge, and
- you acknowledge that Burrell Stockbroking Pty Ltd and Burrell & Co Holdings Pty Ltd may disclose information provided by you as required by law.

Further, you acknowledge that:


<input checked="" type="checkbox"/>	Please tick one box
<input type="checkbox"/>	You have not provided details of your investment objectives or full financial information and understand that General Advice Only services will be provided. You acknowledge that you have read and understood the General Advice Warning , which applies to every instance of advice we provide you.
<input type="checkbox"/>	You have not provided details of your investment objectives or full financial information and understand that Execution Only services will be provided. **You acknowledge and agree that if you seek any advice, recommendations, or opinions in the future we will be providing General Advice Only. The General Advice provisions of this Guide and the General Advice Warning will apply to every instance of advice we provide you.
<input type="checkbox"/>	You have not provided details of your investment objectives or full financial information and understand that Non-repeat SELL services will be provided.
<input type="checkbox"/>	Where you are establishing a Trading Account you further acknowledge that the pursuit of short term gains in the market by means of speculative trading to be highly risky.

PLEASE ENSURE ALL PARTIES TO THIS AGREEMENT SIGN			
Signature of Individual/Director/Trustee		Date	/ /
	Name (print)		
Signature of Individual/Director/Trustee		Date	/ /
	Name (print)		
Signature of Individual/Director/Trustee		Date	/ /
	Name (print)		

Burrell Stockbroking and Burrell Clearing hereby confirm their agreement to the terms of this account application and execution of the CHESS Sponsorship Agreement, unless indicated otherwise, by allocating an account number to the applicant(s).

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Confirmation by Advisor – office use only

I confirm that:			
I have provided the client with a current Financial Services Guides (FSGs).			<input type="checkbox"/>
General advice – I have issued a warning to the client that any advice is general in nature and has been prepared without taking into account the clients objectives, financial situation or needs.			<input type="checkbox"/>
Execution only - No advice, whether personal or general is being provided on this account.			<input type="checkbox"/>
Non-repeat SELL - No advice, whether personal or general is being provided on this account.			<input type="checkbox"/>
This account is a trading account and the client has been given appropriate warning as to the risky nature of this type of enterprise.			<input type="checkbox"/>
Advisor to sign		Date	/ /
		Advisor #	
	Advisor name (print)		

Notification of Second Advisors – office use only

Advisor Name:	
Advisor Code:	
Account name:	
Account #:	

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Contact us

Brisbane

Burrell House

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Spring Hill, QLD 4000

1300 4 2877 355

T 07 3006 7200

F 07 3839 6964

Bundaberg

Bundaberg Offices

76 Takalvan Street
Bundaberg, QLD 4670

1800 626 886

T 07 4153 4499

F 07 4153 1704

Emerald

Investment House

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Emerald, QLD 4720

1800 626 886

T 07 4988 2777

F 07 4988 2707

Gold Coast

Anzcro Building

6 Short Street
Southport, QLD 4215

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F 07 5531 0000

Ipswich

Ipswich Corporate Centre

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Ipswich, QLD 4305

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F 07 3812 3672

Sunshine Coast

Boardwalk Professional Suites

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Peregian Beach, QLD, 4573

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Tasmania

Kingston

Po Box 970

Kingston, TAS, 7051

T 0407 622 667

F 07 3839 6964

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